Receipt date: 05/06/2005

10/534104 JC06 Rec'd 2005

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of NISHIDA et al.

Confirmation No. Not Assigned Atty Docket. No. 46244

International Appln. PCT/JP03/14210

Group Art Unit: Not Assigned

This Appln. Filed: May 6, 2005

Examiner: Not Assigned

Title: METHOD FOR PRODUCING FERMENTED BEVERAGES

May 6, 2005

# INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The applicants hereby submits the attached PTO Form 1449 and copies of documents listed thereon which are disclosed in the specification of the subject application. This Information Disclosure Statement (IDS) is submitted along with the filling of the subject application and is thus filed before the issue by the PTO of any substantive Office Action in this application. Accordingly, no additional IDS filling fee is required.

Please note that the documents listed on the PTO Form 1449 as have an English language Abstract submitted herewith and listed document has an English language Abstract and a copy of English language counterpart EP 523333 A1.

Additionally, please note that listed document is also listed in the International Search Report (ISR) which issued in the subject PCT application and which ISR has been submitted with the filing of the present U.S. application.

The applicants provide the following comments regarding the cited documents:

Receipt date: 05/06/2005

NISHIDA et al. – New Appln. filed May 6, 2005

This paper filed or y 6, 2005

#### 1. Japanese Patent Public Disclosure No. Sho 58-155075

This reference shows a method for preventing the development of off-flavors. However, since this reference teaches that the addition of yeast extract or the like allows reduction of a must smell in must wine, the invention of the reference is different from the present invention which relates to a method for producing fermented beverages at least through the step of stopping yeast-based fermentation in mid course, wherein the L-methionine concentration or the FAN level in wort is adjusted to prevent the development of off-flavors.

# 2. Japanese Patent Public Disclosure No. Hei 07-303457

This reference shows a method for preventing the development of off-flavors. However, since this reference teaches the use of a yeast strain modified to carry a structural gene(s) in preventing the generation of hydrogen sulfide in beers, the invention of the reference is different from the present invention which relates to a method for producing fermented beverages at least through the step of stopping yeast-based fermentation in mid course, wherein the L-methionine concentration or the FAN level in wort is adjusted to prevent the development of off-flavors.

#### Japanese Patent Public Disclosure No. Hei 10-57044

This reference shows a method for controlling aroma.

However, since this reference teaches that the levels of malic acid and succinic acid are controlled by adjusting the fermentation temperature or the amount of yeast added, the invention of the reference is at least different from the present invention which relates to a method for producing fermented beverages through the step of stopping yeast-based fermentation in mid course, wherein the L-methionine

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concentration or the FAN level in wort is adjusted to prevent the development of off-

flavors.

4. Japanese Patent Public Disclosure No. He! 10-52251

This reference shows a method for controlling aroma.

However, since this reference teaches that the levels of malic acid and succinic acid

are controlled by controlling the level of free amino nitrogen generated in wort, the

invention of the reference is at least different from the present invention which relates

to a method for producing fermented beverages through the step of stopping yeast-

based fermentation in mid course, wherein the L-methionine concentration or the

FAN level in wort is adjusted to prevent the development of off-flavors.

5. Japanese Patent Public Disclosure No. Sho 61-58573

This reference shows a method for preventing the development of off-flavors.

However, since this reference teaches that diacetyl level can be reduced by avoiding

introducing excess yeasts at a second stage of a two-stage fermentation process,

the invention of the reference is at least different from the present invention which

relates to a method for producing fermented beverages through the step of stopping

veast-based fermentation in mid course, wherein the L-methionine concentration or

the FAN level in wort is adjusted to prevent the development of off-flavors.

Beer and Coolers

This reference describes methods for producing low-alcohol beers.

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# 7. MBAA TECHNICAL QUARTERLY Vol.17, No4,1980

This reference describes a method for producing low-alcohol beer by using yeast incapable of fermenting maltose.

#### 8. Abriss der Bierbrauerei

This reference describes a method for producing low-alcohol beer by stopping the fermentation by cooling at the standard fermentation process.

However, a person of ordinary skill in the art would find it difficult to believe that these reports provide adequate discussion on the prevention of off-flavor development.

### 9. Japanese Patent Public Disclosure No. Hei 5-68528

This reference describes a method for producing low-alcohol beer by the addition of a-glucosidase in the mashing step, whereby fermentable sugars in a saccharified solution are converted into non-fermentable sugars.

However, a person of ordinary skill in the art would find it difficult to believe that these reports provide adequate discussion on the prevention of off-flavor development.

# 10. European Brewery Convention, Proceeding of the 19th Congress, 1983

This reference describes a method for producing low-alcohol beer by making a short contact between wort and yeast.

However, this approach at least suffers from the problem of a residual wort smell.

# 11. UK patent 2033424, 1980

This reference describes a method for producing low-alcohol beer by mixing normal beer with low-alcohol beer prepared from wort at low extract concentration This paper filed or y 6, 2005

12. Japanese Patent Public Disclosure No. 2002-291465

This reference shows a method for preventing the development of off-flavors at a

fermentation stopping process.

However, since this reference teaches the use of mutated yeast strains to control a

diacetyl smell in Japanese rice wines of low-alcohol concentration, the invention of

the reference is different from the present invention which relates to a method for

producing fermented beverages at least through the step of stopping yeast-based

fermentation in mid course, wherein the L-methionine concentration or the FAN level

in wort is adjusted to prevent the development of off-flavors.

13. Metabolic Maps

This reference is referred to show that hydrogen sulfide generated during

fermentation is closely related to yeast metabolism in sulfur-containing amino acid

production.

14. MBAA TECHNICAL QUARTERLY Vol. 21, 1984

This reference is referred to show that T-VDK production is affected by amino acid

concentration in fermenting wort.

This IDS is intended to be in full compliance with the rules, but should the

Examiner find any part of its required content to have been omitted, prompt notice to

that effect is earnestly solicited, along with additional time under Rule 97(f), to enable

Applicant to comply fully.

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Receipt date: 05/06/2005

NISHIDA et al. – New Appln. filed May 6, 2005 This paper filed or 4, 2005

JC06 Rec'd P PTO 06 MAY 2005

Consideration of the foregoing and enclosures plus the return of a copy of the enclosed Form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited along with an early action on the merits.

Entry of this IDS and favorable consideration of this application are respectfully requested.

Respectfully submitted,

MANELLI DENISON & SELTER, PLLC

Paul E. White, Jr.

Reg. No. 32,011

Tel. No.: (202) 261-1050 Fax No.: (202) 887-0336

2000 M Street, N.W. Seventh Floor Washington, D.C. 20036-3307 (202) 261-1000

# JC06 Rec'd PCT/PTO 0 6 MAY 2005

|                                     |                        | PTO/SB/08A (Modified)   |
|-------------------------------------|------------------------|-------------------------|
| Substitute for form 1449A/PTO       | Comp                   | lete if Known Z I 1 O I |
| INFORMATION DISCLOSURE              | Application Number     | Not Yet Assigned        |
| STATEMENT BY APPLICANT              | Filing Date            | May 6, 2005             |
|                                     | First Named Inventor   | NISHIDA et al.          |
| (use as many sheets as necessary)   | Group Art Unit         | Not Yet Assigned        |
| Date This Paper Filed : May 6, 2005 | Examiner Name          | Not Yet Assigned        |
| Sheet 1 of 2                        | Attorney Docket Number | 46244                   |

#### U.S. PATENT DOCUMENTS

Receipt date: 05/06/2005

| Examin Cite No Initials* | Cite |        | U.S. Patent Document  | Name of Patentee or            | Date of Publication of       | Pages, Columns, Lines, Where<br>Relevant |
|--------------------------|------|--------|-----------------------|--------------------------------|------------------------------|--|
|                          | Ņo.  | Number | Appln. Filing<br>Date | Applicant<br>of Cited Document | Cited Document<br>MM-DD-YYYY | Passages or Relevant Figures<br>Appear   |
|                          | ΔR   |        |                       |                                |                              |  |
|                          | BR   |        |                       |                                |                              |  |
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#### FOREIGN PATENT DOCUMENTS

| Examin<br>er<br>Initials* | Cite<br>No. | Inventor/Applicant<br>Name | Document<br>Number | Country | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | English<br>Abstract<br>Encl No | Counterpart<br>U.S. Appln.<br>No. |
|---------------------------|-------------|----------------------------|--------------------|---------|--|--------------------------------|-----------------------------------|
|                           | FR          | Sannoro Breweries          | Sho 58-155075      | JAPAN   | Sentember 14 1983                                      | YES                            |                                   |
|                           | GR          | Kumamoto Seifun KK         | Hei 07-303457      | JAPAN   | November 21 1995                                       | YES                            |                                   |
|                           | HR          | Sapporo Breweries          | Hei 10-57044       | JAPAN   | March 3 1998   | YES                            |                                   |
|                           | IR          | Sapporo Breweries          | Hei 10-52251       | JAPAN   | February 24, 1998                                      | YES                            |                                   |
|                           | JIR         | Kirin Brewery Co. Ltd.     | Sho 61-058573      | JAPAN   | March 25, 1986   | YES                            |                                   |
|                           | KR          | Sannoro Breweries          | Hei 5-68528        | JAPAN   | March 23, 1993   | YES                            |                                   |

### OTHER (Including in this order, Author, Title, Periodical Name, Date, Pertinent Pages

| LR | Moll, M. "Beers & Coolers- Definition Manufacture Composition", Intercept, Ltd.   |  |
|----|---|--|
| MR | Brenner, M.W., "Beers fro the Future" MBAA Technical Quarterly, Vol. 17, No. 4, pp 185-195, 1980                              |  |
| NR | Abriss der Bierbrauerel, Verschiedene obergarige Biere und ihre Herstellung, p369.  |  |
| OR | Schur, F. "A New Method of Producing Alcohol-Free Beer", European Brewery Convention,<br>Proceeding of the 19" Congress, 1983 |  |
| PR | Metabolic Maps  |  |
| QR | Nakatani, K., et al. "Kinetic Study of VicinalDiketones" MBAA Technical Quarterly, Vol. 21, No.2 1984                         |  |

| Signature | /Vera Stulii/ (05/26/2009) | Considered | 00/20/2000 |
|-----------|----------------------------|------------|------------|
|           |                            |            |            |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

05/26/2009

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3) <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>8</sup> Applicant is to place a check mark here if English language Translation is attached.

# JC06 Rec'd PCT/PTO 06 MAY 2005

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| Substitute | e for form 1449A/PTO |        |            | Comp                   | lete if Known         |
| INI        | FORMATION            | ים י   | eci oslibe | Application Number     | Not yet Assigned 4    |
|            | ATEMENT E            |        |            | Filing Date            | May 6, 2005           |
|            |                      |        |            | First Named Inventor   | NISHIDA et al.        |
| (use as    | many sheets as ne    | cess   | ary)       | Group Art Unit         | Not Yet Assigned      |
| Date T     | his Paper Filed: I   | vIay ( | 5, 2005    | Examiner Name          | Not Yet Assigned      |
| Sheet      | 2                    | of     | 2          | Attorney Docket Number | 46244                 |

#### U.S. PATENT DOCUMENTS

Receipt date: 05/06/2005

| Examin | Cite | u.s.   | Patent Document       | Name of Patentee or         | Date of Publication of       | Pages, Columns, Lines, Where                       |
|--------|------|--------|-----------------------|-----------------------------|------------------------------|--|
|        | No.  | Number | Appln. Filing<br>Date | Applicant of Cited Document | Cited Document<br>MM-DD-YYYY | Relevant<br>Passages or Relevant Figures<br>Appear |
|        | A.S  |        |                       |                             |                              |  |
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#### FOREIGN PATENT DOCUMENTS

Examiner

Signature

| Examin<br>er<br>Initials* | Cite<br>No. | Inventor/Applicant<br>Name | Document<br>Number | Country | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | English<br>Abstract<br>Encl No | Counterpart<br>U.S. Appln.<br>No. |
|---------------------------|-------------|----------------------------|--------------------|---------|--|--------------------------------|-----------------------------------|
|                           | FS          | Sannoro Breweries, Ltd.    | FP 0 523 333       | FUROPE  | January 20, 1993                                       | YES                            |                                   |
|                           | GS          | Barrell G W                | GB 2 033 424       | Britain | May 21 1980  |                                |                                   |
|                           | HS          | Miyagi-Ken Miyagiken Shuzo | Hei 2002-291465    | JAPAN   | October 8, 2002  | YFS                            |                                   |
|                           | IS.         |                            |                    |         |  |                                |                                   |
|                           | JIS         |                            |                    |         |  |                                |                                   |
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#### OTHER (Including in this order, Author, Title, Periodical Name, Date, Pertinent Pages

/Vera Stulii/ (05/26/2009)

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number, <sup>2</sup> See attached Kinds of U.S. Patent Documents, <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST, 3) <sup>1</sup> For Japanese patent documents, the Indication of the year of the reign of the Emperor must precode the serial number of the patent document, <sup>3</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST, 10 if possible. <sup>4</sup> Applicant is to place a check mark here if English language Translation is attached.

Receipt date: 05/06/2005

PTO/SB/08A (Modified)

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| INI        | FORMATION          | DI.   | eci Oslibe | Application Number     | 10/6 5 34 1 0 4       |  |  |
|            | ATEMENT B          |       |            | Filing Date            | May 6, 2005           |  |  |
|            |                    |       |            | First Named Inventor   | NISHIDA et al.        |  |  |
| (use as    | many sheets as ne  | cessa | ary)       | Group Art Unit         | Not Yet Assigned      |  |  |
| Date T     | his Paper Filed: N | 1ay 6 | 5, 2005    | Examiner Name          | Not Yet Assigned      |  |  |
| Sheet      | 1                  | of    | 1          | Attorney Docket Number | 46244                 |  |  |

# U.S. PATENT DOCUMENTS

|           | Cite<br>No. | U      | I.S. Patent Document | Name of Patentee or<br>Applicant | Date of Publication of<br>Cited Document | Pages, Columns, Lines, Where<br>Relevant |
|-----------|-------------|--------|----------------------|----------------------------------|--|--|
| Initials* |             |        | Appln, Filing        | of Cited Document                | MM-DD-YYYY                               | Passages or Relevant Figures<br>Appear   |
|           |             | Number | Date                 |                                  |  | Appear                                   |
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#### FOREIGN PATENT DOCUMENTS

| Examin<br>er<br>Initials* | Cite<br>No. | Inventor/Applicant<br>Name  | Document<br>Number | Country | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | English<br>Abstract<br>Encl No | Counterpart<br>U.S. Appln.<br>No. |
|---------------------------|-------------|-----------------------------|--------------------|---------|--|--------------------------------|-----------------------------------|
|                           | FR          | Takeda Chemical Industries  | JP 43 13670        | JAPAN   | June 10, 1968  |                                |                                   |
|                           | GR          | Kirin Reer Kahushiki Kaisha | FP 0160442         | FUROPE  | November 6, 1985                                       |                                |                                   |
|                           | HR          | Kyowa Hakko Kooyo Co I td.  | JP 10 179131       | JAPAN   | July 7, 1998   |                                |                                   |
|                           | IR          | Kirin Beer Kahushiki Kaisha | EP 228009          | FUROPE  | July 8 1987  |                                |                                   |
|                           | JR          | Kirin Beer Kahushiki Kalsha | FP 339532          | FUROPE  | November 2 1989  |                                |                                   |
|                           | KR          | Kirin Beer Kahushiki Kaisha | EP 160442          | FUROPE  | November 6, 1985                                       |                                |                                   |

#### OTHER (Including in this order, Author, Title, Periodical Name, Date, Pertinent Pages

| LR |  |  |
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| MR |  |  |
| NR |  |  |
| OR |  |  |
| PR |  |  |
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|  | Examiner<br>Signature | /Vera Stulii/ (05/26/2009) | Date<br>Considered | 05/26/2009 |  |
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<sup>\*</sup>EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3) ¹ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ¹ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ³ Applicant is to place a check mark here if English language Translation is attached.